

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

USDC SDNY
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SECURITIES AND EXCHANGE COMMISSION,
Plaintiff,

- against -

ECF Case

JOSEPH M. DUPONT,
SHAWN P. CRONIN,
STANLEY KAPLAN,
PAUL FELDMAN, and
JARETT G. MENDOZA,

No. 23 Civ. 5565 (VM)

ORDER

Defendants.

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WHEREAS, on August 11, 2023, the Government submitted a motion seeking to intervene in this action and to stay this matter in its entirety in light of the pendency of the parallel criminal action *United States v. Joseph Dupont, Shawn Cronin, Slava (Stanley) Kaplan, and Paul Feldman*, No. 23 Cr. 320 (GHW) (the “Criminal Case”), in which an indictment has been returned; and

WHEREAS, defendants Joseph M. Dupont, Shawn P. Cronin, Stanley Kaplan, Paul Feldman, and Jarett G. Mendoza consent to the stay of this matter in its entirety;

WHEREAS, the Securities and Exchange Commission takes no position on the Government’s request to stay this matter in its entirety; and

WHEREAS, the Court finds that a stay of this matter in its entirety is in furtherance of the interests of justice and will not prejudice any party; it is

ORDERED that the Government’s motion to intervene is GRANTED. It is further

ORDERED that this matter is stayed in its entirety until the completion of the Criminal

Case.

SO ORDERED.



HONORABLE VICTOR MARRERO
UNITED STATES DISTRICT JUDGE

18 August 2023

DATE